

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: 5/4/04 Name: James L. Katz Signature: [Signature]

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: John Christopher Van Gorp, et al.  
Appln. No.: 10/773,488  
Filed: February 6, 2004  
For: A METHOD AND SYSTEM FOR  
CALCULATING AND DISTRIBUTING  
UTILITY COSTS

Examiner: Not Yet Assigned  
Art Unit:

Attorney Docket No: 6270/134

Mail Stop DD  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Information Disclosure Statement (in duplicate); Form PTO 1449 and cited references
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date: 5/4/04

Respectfully submitted,  
[Signature]  
James L. Katz (Reg. No. 42,711)

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5/4/04

Date of Deposit

James L. Katz, Reg. No. 42,711

Name of Applicant, Assignee or  
Registered Representative

A handwritten signature in black ink, appearing to be "James L. Katz", written over a horizontal line.

Signature

5/4/04

Date of Signature

Our Case No.: 6270/134

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

John Christopher Van Gorp, et al.

Serial No.: 10/773,488

Filing Date: February 6, 2004

For: A METHOD AND SYSTEM FOR  
CALCULATING AND  
DISTRIBUTING UTILITY COSTS

Examiner: Not Yet Assigend

Group Art Unit No.: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed

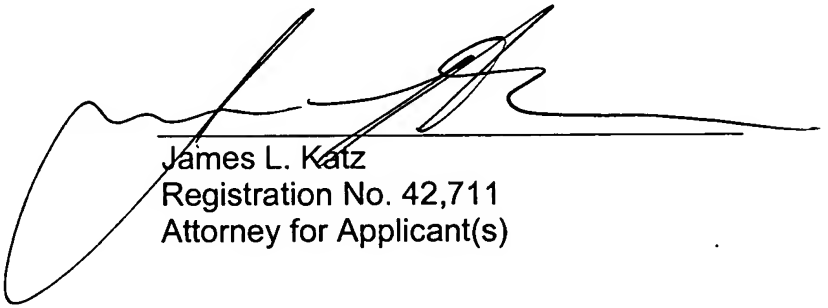
for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



James L. Katz  
Registration No. 42,711  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 10/733,488	CASE NO. 6270/134
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE February 6, 2004	GROUP ART UNIT Not Yet Assigned
(use several sheets if necessary)	APPLICANT(S): John Christopher Van Gorp, et al.	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/SUBCLASS	FILING DATE
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES OR NO
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EXAMINER INITIAL	<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b> (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
	A1	Enerwise Global Technologies – Enerwise Options Alarming & Notification and Cost Estimation, 2 pages, <a href="http://www.enerwise.com/docs/">http://www.enerwise.com/docs/</a> , Pennsylvania, September 16, 2002.
	A2	Data Loggers & Profile Loggers Sub-Metering Systems kWh Meters & Multi-Parameter Meters Energy & Power Quality Analysers Power Factor Correction, MeterRing™ 2000 Software. Copyright 2001, 3 pages.
	A3	Eka Systems, Inc., Reliable wireless sensor and control networks, Product Specification, Energy Insight™, 2 pages, Maryland, August 22, 2002.
	A4	Automated Energy News Brief, 2 pages, Oklahoma, © Automated Energy Inc. 2002.
	A5	Application Brief, Circadian Information Systems: Circadian Integrates Pi™ With EnterpriseOne™, 6 pages, <a href="http://www.circadianinfosystems.com/">http://www.circadianinfosystems.com/</a> . © 2000 Circadian Information Systems.
	A6	REnergyMetrix, Technical Data, Rockwell Software, 4 pages, <a href="http://www.software.rockwell.com">www.software.rockwell.com</a> , <a href="http://www.rockwellautomation.com">www.rockwellautomation.com</a> , Wisconsin, © 2002 Rockwell Software Inc.
	A7	Allen-Bradley, Your Solution for Power & Energy Management, Rockwell Automation, 9 pages, © 19xx Rockwell International Corporation, January 2000.
	A8	AEV Advanced Energy Vision, one page, no date.
	A9	Energy Profiler Online™ Energy Insight for Your Most Valuable Customers, 2 pages, ABB Inc., <a href="http://www.abb.com">www.abb.com</a> California, © 2001 ABB Inc.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(use several sheets if necessary)	APPLICANT(S): <b>Error! Reference source not found.</b>	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A10	Engagenetworks, eBilling [Electronic Billing], 2 pages, <a href="http://www.engagenet.com">www.engagenet.com</a> , Wisconsin, © engagenetworks, copyright 2000, 2001.
	A11	Lodestar RateExpert® Reduce Cost with Advanced Rate Analysis, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
	A12	SAIC, News Release, SAIC's Enerlink Division Announces Latest Generation of BillGen®, Complex Billing Engine, <a href="http://www.saic.com/">http://www.saic.com/</a> , 2 pages, Georgia, May 24 1999.
	A13	FAQs for Metrix, What does Metrix do? 9 pages, June 17, 2003.
	A14	Meter, monitor, act, real-time decision about your utility purchases, use and costs, Envision™ turn data into decisions, <a href="http://envision.opg.com">http://envision.opg.com</a> , 14 pages, © 2001 Ontario Power Generation Inc. 03/02
	A15	Lodestar Financial Management Extension™ v3.5, Accelerate your Cashflow, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
	A16	Lodestar BillingExpert® v3.5, If You Can Sell It, We Can Bill It, <a href="http://www.lodestarcorp.com">www.lodestarcorp.com</a> , 2 pages, United States, United Kingdom, Australia, Copyright © 2003.
	A17	Excelergy® Product Overview, 3 pages, Massachusetts, June 20, 2003.
	A18	OntarioPower Generation, Energy Solutions, Envision FAQ, 6 pages, June 20, 2003.
	A19	Energetics Media Kit, <a href="http://www.energetics.com.au">www.energetics.com.au</a> , <a href="http://www.envinta.com">www.envinta.com</a> , 20 pages, Australia, USA, UK, Energetics Pty Ltd – March 2003.
	A20	Oarsman Corporation, Simple Screen Organization, 2 pages, June 17, 2003.
	A21	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 2 pages, June 20, 2003.
	A22	Stark, Energy Information Systems, Products, Monitoring & Targeting software for multi-site organizations, Energy Information using directly collected data, Energy Accounting for analysing information on utility bills and manual meter reading SHM Metering systems & high quality data loggers, 3 pages, June 20, 2003.

EXAMINER	DATE CONSIDERED
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(use several sheets if necessary)	APPLICANT(S): <b>Error! Reference source not found.</b>	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A23	Class 3000, POWERLOGIC® Engineered Solutions Billing Module, <a href="http://www.powerlogic.com">http://www.powerlogic.com</a> , 2 pages, Square D, Schneider Electric, Tennessee, ©1999.
	A24	Bentek Energy, LLC Launch ETA, RateMiner Energy Tariff, Solutions, 8 pages, June 17, 2003.
	A25	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
	A26	Silicon Energy, when it really matters..., Rate Engine, 1 page, June 16, 2003.
	A27	Stark, Energy Information Systems, Products, Audit Trail, 2 pages, June 20, 2003.
	A28	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
	A29	Stark, Energy Information Systems, Stark Essentials - Entry-level Monitoring & Targeting software for multi-site organisations, Stark RT- Energy information using directly collected data, Stark EA - Energy Accounting for analysing information on utility bills and manual meter readings, Stark SHM – Metering systems & high quality data loggers, Stark Online – Easy Reporting on all your utility supplies; 3 pages, June 20, 2003.
	A30	Silicon Energy, when it really matters..., Cost Analyst, 1 page, June 16, 2003.

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